# Symplectic Geometry and Hamiltonian Mechanics

Gaurav Goel, Charley Hutchison

#### December 2021

This paper is written in fulfilment of the requirements of Physics 151 taught at Harvard in Fall 2021 by Professor Arthur Jaffe.

## Contents

1	Introduction	2
<b>2</b>	Symplectic Forms	<b>2</b>
	2.1 Fundamental Definitions	2
	2.2 Moment Maps	3
3	The Yang-Mills Situation	4
4	Coupling to Charged Matter	4

## 1 Introduction

The goal of this project is to expand on a paper by Sternberg on how to use symplectic geometry to couple a classical particle to a Yang-Mills field. He demonstrates that the symplectic procedure, for a general manifold M and gauge group G, generalizes the standard minimal coupling procedure for a particle in a classical electromagnetic field. The generalization allows for particles with charges that transform in nontrivial representations of the gauge group (which arise in nonabelian gauge theories). The idea is to use the connection form on the principal bundle to introduce a symplectic structure on certain associated bundles in a way that is automatically gauge invariant.

## 2 Symplectic Forms

In this section, we closely follow [1].

#### 2.1 Fundamental Definitions

**Definition 1.** Let M be a smooth manifold. Then a symplectic form on M is a closed nondegenerate two form  $\omega \in \Omega^2(M)$ . A pair  $(M, \omega)$  of a manifold and a symplectic form is called a symplectic manifold.

**Example 1.** Let  $M = \mathbb{S}^2 \subset \mathbb{R}^3$  as the unit vectors, so that for  $p \in \mathbb{S}^2$ , the tangent space  $T_p \mathbb{S}^2 \subset T_p \mathbb{R}^3 = \mathbb{R}^3$  is the set of vectors orthogonal to p. Then  $\omega \in \Omega^2 \mathbb{S}^2$  defined by  $\omega_p(u, v) = \langle p, u \times v \rangle$  is symplectic. This can equivalently be written in cylindrical coordinates  $(\theta, h)$  as  $\omega = d\theta \wedge dh$ .

**Example 2.** Let M be any manifold with local coordinates  $q_1, \ldots, q_n$ . Then any differential one form  $\alpha$  in these local coordinates can be written as  $p^i dq_i$ . Then  $(q_1, \ldots, q_n, p^1, \ldots, p^n)$  define local coordinates on the cotangent bundle  $T^*M$ , and we define the Liouville one-form  $\alpha \in \Omega^1(T^*M)$  by  $\alpha = p^i dq_i$ . (This can be checked to be well-defined independent of choice of coordinates.) Then  $\omega = -d\alpha = dq_i \wedge dp^i$  is a symplectic form on  $T^*M$  called the *canonical symplectic form*.

As a special case, take  $M = \mathbb{R}^n$  with global coordinates  $q_i$  and  $p^i$  on  $T^*\mathbb{R}^n \cong \mathbb{R}^{2n}$ . Then the canonical symplectic form  $\omega = dq_i \wedge dp^i$ .

Why do physicists care about these objects? The answer lies in Theorem 1 below.

**Definition 2.** Let  $(M, \omega)$  be a symplectic manifold and  $H : M \to \mathbb{R}$  a smooth function, thought of as the Hamiltonian function. Then, by nondegeneracy of  $\omega$  there is a unique vector field  $X_H \in \Gamma(M, TM)$  such that the interior product  $X_H \lrcorner \omega = dH$ , called the *Hamiltonian vector field associated to* H. Its flow is called the *Hamiltonian flow* generated by the Hamiltonian function H.

If  $\rho_t$  denotes the local flow of  $X_H$ , then it follows from

$$\frac{\mathrm{d}}{\mathrm{d}t}\rho_t^*\omega = \rho_t^*\mathcal{L}_{X_H}\omega = \rho_t^*(\mathrm{d}(X_H \,\lrcorner\, \omega) + X_H \,\lrcorner\, (\mathrm{d}\omega)) = 0$$

that the flow of  $X_H$  preserves  $\omega$ .

**Example 3.** If  $(M, \omega) = (\mathbb{S}^2, \mathrm{d}\theta \wedge \mathrm{d}h)$ , then taking  $H = h : \mathbb{S}^2 \to \mathbb{R}$  to be the height function, we see that  $X_H \lrcorner (\mathrm{d}\theta \wedge \mathrm{d}h) = \mathrm{d}h \Rightarrow X_H = \partial/\partial\theta$ . The flow of  $X_H$  is  $\rho_t(\theta, h) = (\theta + t, h)$ , which clearly preserves the symplectic form  $\omega$  and the Hamiltonian H.

**Theorem 1.** Consider  $(M, \omega) = (\mathbb{R}^{2n}, \mathrm{d}q_i \wedge \mathrm{d}p^i)$ , and let  $H : M \to \mathbb{R}$  be any Hamiltonian. Then a curve  $\gamma : I \to M$ ,  $\gamma(t) = (q(t), p(t))$  is an integral curve of the vector field  $X_H$  iff Hamilton's equations of motion hold:

$$\dot{q}_i(t) = \frac{\partial H}{\partial p^i} \bigg|_{\gamma(t)} \text{ and } \dot{p}^i(t) = -\frac{\partial H}{\partial q_i} \bigg|_{\gamma(t)}$$

 $<sup>^1\</sup>mathrm{We}\mathrm{'re}$  using the Einstein convention.

*Proof.* This amounts to calculting  $X_H$ . If  $X_H = f_i \frac{\partial}{\partial q_i} + g^i \frac{\partial}{\partial p^i}$ , then

 $(X_H \sqcup \omega)(Y) = \omega(X_H, Y) = (\mathrm{d}q_i \wedge \mathrm{d}p^i)(X_H, Y) = \mathrm{d}q_i(X_H)\mathrm{d}p^i(Y) - \mathrm{d}q_i(Y)\mathrm{d}p^i(X_H) = f_i\mathrm{d}p^i(Y) - g^i\mathrm{d}q_i(Y).$ Therefore,

$$f_i \mathrm{d} p^i - g^i \mathrm{d} q_i = X_H \,\lrcorner\, \omega = \mathrm{d} H = \frac{\partial H}{\partial p^i} \mathrm{d} p^i + \frac{\partial H}{\partial q_i} \mathrm{d} q_i.$$

Consequently,

$$f_i = \frac{\partial H}{\partial p^i}$$
 and  $g^i = \frac{\partial H}{\partial q_i}$  so  $X_H = \frac{\partial H}{\partial p^i} \frac{\partial}{\partial q_i} - \frac{\partial H}{\partial q_i} \frac{\partial}{\partial p^i}$ 

The claim follows because integral curves  $\gamma$  satisfy by definition  $\dot{\gamma}(t) = X_H \Big|_{\gamma(t)}$ .

Therefore, the study of (integral curves of) Hamiltonian vector fields on symplectic manifolds is a vast generalization of the study of solutions to Hamiltonian's equations in Euclidean space.

#### 2.2 Moment Maps

**Definition 3.** A smooth action  $\rho$  of a Lie group G on a symplectic manifold  $(M, \omega)$  is symplectic if it acts by symplectomorphisms, i.e. for every  $g \in G$  we have  $\rho_q^* \omega = \omega$ .

A symplectic action of  $\mathbb{R}$  on a manifold gives us a one-parameter group of symplectomorphisms, whose derivative at t = 0 is a symplectic vector field.

**Definition 4.** A symplectic action of  $\mathbb{R}$  on a manifold is *Hamiltonian* if its derivative at t = 0 is a Hamiltonian vector field.

**Example 4.** The action of  $\mathbb{R}$  on  $(\mathbb{S}^2, d\theta \wedge dh)$  given by  $\rho_t(\theta, h) = (\theta + t, h)$  is a Hamiltonian action with its derivative at t = 0 being simply  $\partial/\partial \theta = X_h$ .

We can ask how to generalize this to arbitrary Lie groups, and here we provide the basic definitions. Recall that for a Lie group G, conjugating by  $g \in G$  gives a diffeomorphism  $\Psi_g : G \to G, h \mapsto ghg^{-1}$ , whose derivative  $\operatorname{Ad}(g) = T_eG \to T_eG$  is a linear automorphism of  $T_eG = \mathfrak{g}$ . Putting these together, we get a homomorphism  $\operatorname{Ad} : G \to \operatorname{GL}(\mathfrak{g})$ , which is a smooth representation of G called the *adjoint representation*. The *coadjoint representation* is the dual of this, i.e. the representation  $\operatorname{Ad}^* : G \to \operatorname{GL}(\mathfrak{g}^*)$  with the property that for every  $g \in G, \xi \in \mathfrak{g}^*$  and  $v \in \mathfrak{g}$  we have  $\langle \operatorname{Ad}_q^* \xi, v \rangle = \langle \xi, \operatorname{Ad}_{g^{-1}} v \rangle$ .

**Definition 5.** Let  $\rho$  be a symplectic action of a Lie group G on a symplectic manifold M. Then the  $\rho$  is called *Hamiltonian* if there is a smooth map, the moment map,  $\mu : M \to \mathfrak{g}^*$  with the following properties:

- (a) Let  $v \in \mathfrak{g}$ , and let  $\mu^v : M \to \mathbb{R}$  denote the map  $\mu^v(p) = \langle v, \mu(p) \rangle$ , where  $\langle \cdot, \cdot \rangle : \mathfrak{g} \times \mathfrak{g}^* \to \mathbb{R}$ is the canonical pairing. If  $X_v$  is the vector field on M generated by the one-parameter subgroup  $\{\exp(tv) : t \in \mathbb{R}\} \subset G$ , then  $d\mu^v = X_v \sqcup \omega$ , i.e.  $\mu^v$  is a Hamiltonian function for the vector field  $X_v$ .
- (b) The map  $\mu$  is equivariant w.r.t the action of G and the coadjoint representation, i.e. for all  $g \in G$  we have  $\mu \circ \rho_g = \operatorname{Ad}_g^* \circ \mu$ .

The name comes from the fact that this generalizes the classical notions of momenta.

**Example 5.** Consider  $(T^*\mathbb{R}^n, dq_i \wedge dp^i)$  and the left-action of  $\mathbb{R}^n$  by translations: for  $a \in \mathbb{R}^n$ , we define  $\rho_a(q, p) = (q + a, p)$ . Consider the natural identifications  $\mathfrak{g} = \mathbb{R}\langle \frac{\partial}{\partial a_i} \rangle$  and  $\mathfrak{g}^* = \mathbb{R}\langle da_i \rangle$ . Then for  $v = v_i \frac{\partial}{\partial a_i}$ , the vector field  $X_v = v_i \frac{\partial}{\partial q_i}$  and the inner product  $X_v \sqcup \omega = v_i dp^i = d(v_i p^i)$ , so that  $\mu : M \to \mathfrak{g}^*$  must by given my  $(q, p) \mapsto p^i da_i$ , i.e. the classical momentum. Similarly, the SO<sub>n</sub> action on  $\mathbb{R}^n$  lifts to an action on  $T^*\mathbb{R}^n$  by symplectomorphisms, which is Hamiltonian with respect to the angular momentum map  $T^*\mathbb{R}^n \to \mathfrak{so}_n^*$ , for example in dimension n = 3 given by the cross-product as usual.

When G is a connected Lie group, this can equivalently be described in terms of the *comment* map  $\mu^* : \mathfrak{g} \to \mathfrak{C}^{\infty}(M)$  where the two conditions are rephrased as  $\mu^*(v) = \mu^v$ , and the fact that  $\mu^*$  is a Lie algebra homomorphism, i.e. for  $v, w \in \mathfrak{g}$  we have  $\mu^*([v, w]) = \{\mu^* v, \mu^* w\}$ , where the last is the *Poisson bracket* defined as  $\{f, g\} := \omega(X_f, X_g)$ . We now have all the technical tools set up.

### 3 The Yang-Mills Situation

A reference for the differential geometry background is [2]. A reference for Yang-Mills theory is [3].

Yang-Mills theory is a generalization of electromagnetism to gauge theories with nonabelian gauge groups. In order to describe Yang-Mills theory in the general setting, we will need to introduce the notion of a connection on a principal bundle.

**Definition 6.** Let G be a Lie group and M a smooth manifold. A principal G-bundle over M is a fiber bundle  $\pi : E \to M$  with a smooth right action of G on E that is free, preserves the fibers of  $\pi$ , and acts transitively on the fibers.

Let  $v \in \mathfrak{g}$  define a vector field  $X_v$  which associates to a point  $p \in E$  the tangent vector at t = 0 of  $p \exp(tv)$ .

**Definition 7.** Let  $\mathfrak{g} = T_e G$ . A connection A on E is a  $\mathfrak{g}$ -valued one form that satisfies the following conditions:  $A(X_v) = v$  for any  $v \in \mathfrak{g}$ , and for every  $g \in G$  and  $s \in TE$ ,  $(R_g)_*A(s) = gA(s)g^{-1} = \operatorname{Ad}_g A(s)$ , where  $R_g$  is the right action by g.

**Example 6.** For any Lie group G and manifold M, the product bundle  $G \times M$  is a principal G-bundle with the action  $g(h, x) = (hg^{-1}, x)$ .

**Example 7.** The quotient map  $\mathbb{S}^{2n+1} \to \mathbb{CP}^n$  defined by the action of U(1) by complex multiplication gives a principal U(1)-bundle over  $\mathbb{CP}^n$ .

**Definition 8.** The *curvature* of a connection A is the g-valued two form  $F_A = dA + \frac{1}{2}[A \wedge A]$ .

In Yang-Mills theory, a field configuration is a connection on the principal G-bundle over the spacetime manifold M, where G is the gauge group. The dynamics of the theory are defined by a Lagrangian involving the curvature of the connection.

**Definition 9.** The Yang-Mills Lagrangian density, for a connection A, is

$$L = -\frac{1}{2} \operatorname{Tr} \left( F_A \wedge \star F_A \right),$$

where  $\star$  is the Hodge star operator.

To recast this in more standard physics language, Yang-Mills theory is a theory with fields A that take matrix values in the Lie algebra of the gauge group. The field strength is

$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + f^{abc} A^b_\mu A^c_\nu,$$

where  $\mu$  and  $\nu$  are spacetime indices, the *a* index runs over the generators of the Lie algebra, and the  $f^{abc}$  are the Lie algebra structure constants. The Lagrangian density is then

$$L = -\frac{1}{4}F^{\mu\nu a}F^a_{\mu\nu}$$

#### 4 Coupling to Charged Matter

In this section, we follow the argument in [4].

The Yang-Mills Lagrangian in the previous case did not include any charged matter coupled to the Yang-Mills fields. In this section we will describe a very general procedure to produce such a coupling. Let  $(F, \omega)$  be a symplectic manifold and let G have a left action on F that is Hamiltonian, so that we have a moment map  $\mu : F \to \mathfrak{g}^*$ . Let  $\pi : P \to M$  be a principal G-bundle for a compact Lie group G. The actions of G on P and F yield an action Q on  $P \times F$ , given by  $Q_g(p, f) = (R_g p, L_g f) = (pg^{-1}, gf)$ , for  $g \in G, p \in P$ , and  $f \in F$ . We will form the associated fiber bundle  $P \times_G F \to M$  with fiber F by taking the quotient of  $P \times F$  by the action of G.

Let A be a connection on P. By pairing A, which is a g-valued differential form on P, with the moment map, we get a real-valued differential form  $\langle A, \mu \rangle$  on  $P \times F$ . Then

$$Q_q^*\langle A,\mu\rangle = \langle R_q^*A, L_q^*\mu\rangle = \langle \mathrm{Ad}_g A, \mathrm{Ad}_q^*\mu\rangle = \langle A,\mu\rangle$$

The exterior derivative of this form is

$$\mathrm{d}\langle A,\mu\rangle = \langle \mathrm{d}A,\mu\rangle - \langle A,\mathrm{d}\mu\rangle,$$

where we interpret  $d\mu$  as a  $\mathfrak{g}^*$ -valued differential form. An element of the Lie algebra v and the action of G induces a vector field  $X_Q$  on  $P \times F$ , which can be written  $X_Q = (X_P, X_F)$ , where  $X_P$  and  $X_F$  are the vector fields induced by the G actions on P and F. Then

$$X_Q \,\lrcorner\, \mathrm{d}\langle A, \mu \rangle = \langle X_P \,\lrcorner\, \mathrm{d}A, \mu \rangle - \langle X_P \,\lrcorner\, A, \mathrm{d}\mu \rangle + \langle A, X_F \,\lrcorner\, \mathrm{d}\mu \rangle.$$

By the definition of a connection, we have  $(R_g)^*A = \operatorname{Ad}_g A$ . Differentiating this relation at the identity in G gives  $D_{X_v}A = \operatorname{ad}_v A$  for  $v \in \mathfrak{g}$ . Using Cartan's formula, we have  $D_{X_v}A = X_v \,\lrcorner\, dA + d(A(X_v))$ . Since  $A(X_v) = v$ , we have  $d(A(X_v)) = 0$ . Therefore  $D_{X_v}A = X_v \,\lrcorner\, dA = \operatorname{ad}_v A$ . Using this relation in the previous calculation gives

$$X_Q \,\lrcorner\, \mathrm{d}\langle A, \mu \rangle = \langle \mathrm{ad}_v, \mu \rangle - \langle v, \mathrm{d}\mu \rangle + \langle A, \mathrm{ad}_v^* \mu \rangle = -\langle v, \mathrm{d}\mu \rangle = -X_F \,\lrcorner\, \omega,$$

where the last equality used the definition of the moment map. Since this is nonvanishing,  $d\langle A, \mu \rangle$  will not descend to a differential form on the quotient  $P \times_G F$ . However, if we pullback the symplectic form  $\omega$  to  $P \times F$  using the projection to F, then  $X_Q \sqcup \omega = X_F \sqcup \omega$ . Therefore  $X_Q \sqcup (d\langle A, \mu \rangle + \omega) =$  $-X_F \sqcup \omega + X_F \sqcup \omega = 0$ . Furthermore,

$$Q_q^*\langle A, \mu \rangle = \langle R_q^*A, L_q^*\mu \rangle = \langle \mathrm{Ad}_g A, \mathrm{Ad}_q^*\mu \rangle = \langle A, \mu \rangle.$$

Also,  $Q_g^* \omega = L_g^* \omega = \omega$  since the *G* action is symplectic. These two results imply that  $d\langle A, \mu \rangle + \omega$  descends to a form  $\omega_A$  on  $P \times_G F$ . Because  $d\langle A, \mu \rangle + \omega$  is closed, so is  $\omega_A$ .

Assume further that there is a symplectic form  $\Omega$  on M. We can pullback this form to  $P \times_G F$ , and then  $\Omega + \omega_A$  will be a closed two-form on  $P \times_G F$ . As long as this form is nondegenerate, this will define a symplectic structure on  $P \times_G F$ . In particular, when M is the cotangent bundle of another manifold with the canonical symplectic structure, then this form will be nondegenerate.

We will now show that this recovers the standard minimal coupling for electromagnetism. The typical procedure is to take the Hamiltonian H for the particle without any coupling to the field and substitute  $p \mapsto p - edA$ , where p is the four-momentum, A is the field potential, and e is the charge of the particle.

Let M be Minkowski space and  $X = T^*M$  with the canonical symplectic form  $\omega$ . Let G = U(1)and F a single point, with the moment map  $\mu : F \to \mathfrak{g}^* = \mathbb{R}$  just picking out a point e. Let  $P \to M$ be a principal U(1)-bundle and A a connection. Then we can form the pullback bundle  $\tilde{P} \to T^*M = X$ and the connection lifts to a form on the pullback bundle. This form can be written in local coordinates as  $A^i dq_i$ , where the  $q_i$  are local coordinates on X. Since F is just a point,  $\tilde{P} \times_G F = X$ . The symplectic form resulting from this procedure is  $\omega + d\langle A, \mu \rangle = \omega + d(eA) = \omega + e \, dA$ .

Let  $\phi: X \to X$  be the diffeomorphism defined by  $(p,q) \mapsto (p+eA,q)$ . Let H be a Hamiltonian function on X. The vector field  $\xi$  corresponding to the Hamiltonian  $H(\phi^{-1}(p,q))$  (which corresponds to the replacement  $p \mapsto p - eA$ ) generated by the standard symplectic form satisfies  $\xi \sqcup \omega = d(H \circ \phi^{-1}) =$  $(\phi^{-1})^* dH$ . Notice that  $\phi^*(\omega) = \omega + edA$ , which is our modified symplectic form. We then have  $\phi^*(\xi \sqcup \omega) = (\phi^*\xi) \sqcup (\omega + e dA) = dH$ . Therefore  $\phi^*\xi$  is the Hamiltonian vector field for H generated by the modified symplectic form. We see then that using modified symplectic form and the original Hamiltonian for the free particle gives the same dynamics as the original Hamiltonian with the minimal coupling substitution  $p \mapsto p - eA$ .

## References

- A. C. da Silva, Lectures on Symplectic Geometry. Lectures Notes in Mathematics, 1746, Springer-Verlag, 2006.
- [2] C. H. Taubes, *Differential Geometry: Bundles, Connections, Metrics and Curvature*. Oxford Graduate Texts in Mathematics, Oxford University Press, 2011.
- [3] M. J. Hamilton, Mathematical Gauge Theory With Applications to the Standard Model of Particle Physics. Universitext, Springer, 2017.
- [4] S. Sternberg, "Minimal coupling and the symplectic mechanics of a classical particle in the presence of a yang-mills field," *Proc. Natl. Acad. Sci. USA*, vol. 74, no. 12, 1977.